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PATENT



Docket No. 2026-4124US4  
Express Mail Label No. EJ083359791US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**



ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

First named Inventor  
or Application Identifier: Kawakami et al.

For: MELANOMA ANTIGENS AND THEIR USE IN  
DIAGNOSTICS AND THERAPEUTIC METHODS

Enclosed are

[ X ] 116 page(s) of specification, 1 page(s) of Abstract, 5 page(s) of claims (as originally filed in the parent application)

[ X ] 7 sheets of drawing (Figs 1, 2, 3A-3B, 4, 5A-5B and 6) [ ] formal [ x ] informal (as originally filed in the parent application)

[ X ] 2 page(s) of Declaration and Power of Attorney

- [ ] Unsigned
- [ ] Newly Executed
- [ x ] Copy from prior application

[ ] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[ ]      page(s) of Revocation of Power of Attorney and New Power of Attorney

[ x ] Please direct all correspondence and all telephone calls in this matter to:  
William S. Feiler (Reg. 26,728)  
Morgan & Finnegan, LLP  
345 Park Avenue  
New York, NY 10154  
(212) 758-4800

[ x ] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[ ] Microfiche Computer Program (Appendix)

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- ☒ 48 page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☒ Information Disclosure Statement
- ☐ Copy of      cited references
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☒ Assignment papers as filed in parent application Serial No. 08/417,174.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This is a ☐ continuation ☒ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 09/073,138, herein incorporated by reference.
- ☒ Cancel in this application original claims 15-38 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until           .
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application.
- ☒ Amend the specification by inserting before the first line the sentence:

This is a ☐ continuation ☒ divisional ☐ continuation-in-part of co-pending application Serial No. 09/073,138 filed May 5, 1998, herein incorporated by reference.

## I. CALCULATION OF APPLICATION FEE

						Basic Fee
	Number Filed	Number Extra		Rate		\$ 760.00
Total						
Claims	14	-20=	0	x	\$18.00	\$
Independent						
Claims	3	- 3=	0	x	\$78.00	\$
Multiple Dependent Claims						
		[ ] yes	Additional fee	=	\$260.00 \$	\$
		[ ] no	Additional fee	=	NONE	
Total:						\$ 760.0

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$\_\_\_\_\_.
- ☒ A check in the amount of \$ 760.00 in payment of the application filing fees is attached.
- ☒ Charge Fee(s) to Deposit Account No. 13-4500. Order No. 2026-4124US4. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 2026-4124US4. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN &amp; FINNEGAN, L.L.P.

By: Dorothy R. Auth(Dorothy R. Auth  
Registration No. 36,434Dated: March 12, 1999

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APPLICANT:	Kawakami et al.	GROUP ART UNIT: To Be Assigned
SERIAL NO.:	To Be Assigned	EXAMINER: To Be Assigned
FILED:	March 12, 1999	
FOR:	<b>MELANOMA ANTIGENS AND THEIR USE IN DIAGNOSTIC AND THERAPEUTIC METHODS</b>	

## EXPRESS MAIL CERTIFICATE

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Date of Deposit March 12, 1999

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Box Patent Application

Sir:

I hereby certify that the attached 1) Utility Application and Fee Transmittal (enclosing 116 pages of specification, 1 page of abstract, 5 pages of claims and total 7 sheets of informal drawings (Figs 1, 2, 3A-3B, 4, 5A-5B and 6); 2) filing fee in the amount of \$760; 3) Preliminary Amendment; 4) copy of Sequence Listing (48 pages); 5) copy of Declaration and Power of Attorney; 6) copy of Associate Power of Attorney; 7) Information Disclosure Statement; 8) copy of PTO-1449 (3 sheets); 9) copy of Assignment; and 10) Return Postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Gilberto Soto

(Typed or printed name of person mailing paper(s)  
or fee)

Allen's Safe

(Signature of person mailing paper(s) or fee)

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